



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

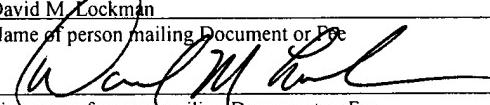
In re patent application of: )  
Richard H. Wagner ) Attorney Docket No.: 1714-0012  
Serial No.: 10/614,398 ) Examiner: To be assigned  
Filed: July 7, 2003 ) Group Art Unit: To be assigned  
For: System and Method for Enabling )  
Transactions Between a Web Server )  
And a Smart Card, Telephone, or )  
Personal Digital Assistant Over )  
The Internet )

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, Alexandria, VA 22313-1450 on October 2, 2003

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October 2, 2003

Date of Signature

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents and Trademarks  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR § 1.56 Applicant hereby discloses the following references, copies of which are enclosed, regarding the above-identified patent application. These references were brought to the attention of Applicant by counsel for FleetBoston Financial Corporation, FleetBank (RI) National Association, Providian Financial Corp., and Visa USA in litigations regarding patents that are in this application's claim of priority. The cases are styled as follows: 1) Datascape, Inc. v. FleetBoston Financial Corporation and FleetBank (RI) National Association, Civil Action No. 1:01-CV-2246-CAP, 2) Datascape, Inc. v. Providian Financial Corp., Civil Action No 1:01-CV-3252-CAP, and 3) Visa USA, Inc. v. Datascape, Inc., Civil Action No. 1:02-CV-289-CAP. All

of these actions are before the United States District Court, Northern District of Georgia, Atlanta Division.

Patent References

<u>U.S. Patent No.</u>	<u>Inventor</u>	<u>Issue Date</u>
6,314,456	Van Andel et al.	11/06/2001

Other Articles

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3. Wei, Pei, "A Brief Overview of the VIOLA Engine, and its Applications",  
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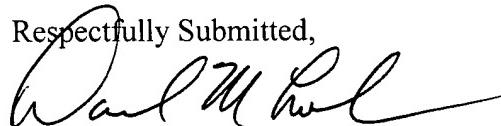
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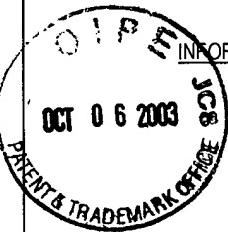
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It is believed that no fees are due for the consideration of this Supplemental Information Disclosure Statement. However, the Commissioner is hereby authorized to charge any deficiency or to credit any overpayment to Deposit Account No. 13-0014, but not to include any payment of issue fees.

Respectfully Submitted,

  
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Attorney for Applicant  
Registration No. 34,214

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 <p>FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT OCT 06 2003 PTO-1449</p>	ATTY. DOCKET NO.: 1714-0012	SERIAL NO.: 10/614,398
	APPLICANT: Richard H. Wagner	
	FILED: July 7, 2003	GROUP ART UNIT: To be assigned

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA	6,314,456	11/06/2001	Van Andel et al.			
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#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

#### OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AQ	1	"Viola in a Nutshell: The Viola World Wide Web Toolkit", <input checked="" type="checkbox"/> <a href="http://www.xcf.berkeley.edu/~wei/viola/book/">http://www.xcf.berkeley.edu/~wei/viola/book/</a> , April 1995 (176 pages).
	AR	1	O'Reilly & Associates, Inc., ViolaWWW, Version 3.3 Beta, Features List, <input checked="" type="checkbox"/> <a href="http://www.xcf.berkely.edu/~wei/viola/README.txt">http://www.xcf.berkely.edu/~wei/viola/README.txt</a> , April 10, 1995 (2 pages).
	AS	1	Wei, Pei, "A Brief Overview of the VIOLA Engine, and its Applications", <a href="http://www.xcf.berkeley.edu/~wei/viola/violaIntro.html">http://www.xcf.berkeley.edu/~wei/viola/violaIntro.html</a> , August 16, 1994, (13 pages).

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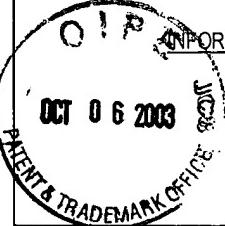
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	BN						Yes No
	BO						Yes No
	BP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

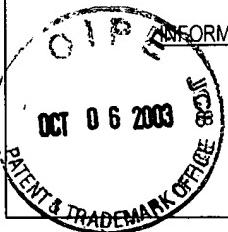
	BQ	2	Wei, Pei, "WWW Browsers: Extensibility Issues", O'Reilly & Associates, September 20, 1994, (13 pages).
	BR	2	"Basic HTTP as defined in 1992", W3C, <a href="http://www.w3.org/Protocols/HTTP/HTTP2.html">http://www.w3.org/Protocols/HTTP/HTTP2.html</a> , 1992, (125 pages).
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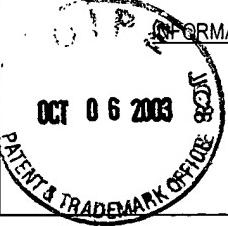
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	BN						Yes No
	BO						Yes No
	BP						Yes No
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	BQ	3	“Olde Viola Montage”, <a href="http://www.xcf.berkeley.edu/~wei/viola/vintage/montage.html">http://www.xcf.berkeley.edu/~wei/viola/vintage/montage.html</a> , 1991, (5 pages).				
	BR	3	Masinter, Larry, “Naming and resource location”, Stanford Computer Forum WWW Workshop, 09/20/1994, (1 page).				
	BS	3	Cousins, Steve and Shoham Yoav, “Software Agents on the WWW”, Stanford Computer Forum WWW Workshop, 09/20/1994, (1 page).				
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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	BQ	4	Winograd, Terry, "Web Structure and Meta-Information", Stanford Computer Forum WWW Workshop, 09/20/1994, (1 page).				
	BR	4	Shafrir, Eviatar, "Interacting with Information", Stanford Computer Forum WWW Workshop, <a href="http://www-pcd.stanford.edu/workshop/abstracts/shafrir.html">http://www-pcd.stanford.edu/workshop/abstracts/shafrir.html</a> , 09/20/1994 (2 pages).				
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	BL						Yes No
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	BN						Yes No
	BO						Yes No
	BP						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	BQ	5	Keller, Arthur M., "CIT's Work on CommerceNet", Center for Information Technology, Stanford University, <a href="http://cit.stanford.edu/cit/comercenet.html">http://cit.stanford.edu/cit/comercenet.html</a> , 10/1994, (6 pages). ✓
	BR	5	Shapiro, Ehud, "Enhancing the WWW with co-presence", Stanford Computer Forum WWW Workshop, 09/20/1994, (9 pages). ✓
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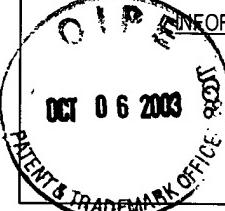
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	BQ	6	"WorldWideWeb-Summary", <a href="http://www.w3.org/Summary.html">http://www.w3.org/Summary.html</a> , 1992, (2 pages).
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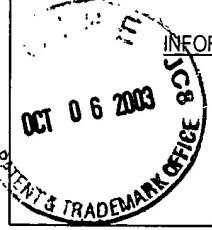
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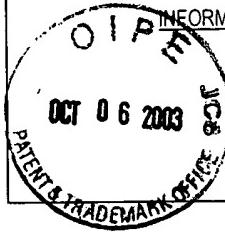
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	BQ	9	Citation List of References noting "The Common Gateway Interface", CiteSeer, 2002, (6 pages).				
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	BS	9	Varela, Carlos and Hayes, Caroline, "Providing Data on the Web: From Examples to Programs", Dept. Computer Science, University of Illinois at Urbana-Champaign, <a href="http://archive.ncsa.uiuc.edu/SDG/IT94/Proceedings/DDay/varela/">http://archive.ncsa.uiuc.edu/SDG/IT94/Proceedings/DDay/varela/</a> , 10/17/1994, (26 pages).				
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	BQ	<u>10</u>	Dynamic Information Systems Corporation, "Developing JDBC Servlet Applications", <a href="http://www.disc.com/jdbcserv.html">http://www.disc.com/jdbcserv.html</a> , 05/08/2002, (12 pages)
	BR	<u>10</u>	ProSolutions, Inc. Active Server Pages (ASP) Technology", <a href="http://www.prosolutionsinc.com/asp.htm">http://www.prosolutionsinc.com/asp.htm</a> , 2002, (2 pages).
	BS	<u>10</u>	Rasmussen, B.F., "WDB—A Web Interface to Sybase", <a href="http://www.stsci.edu/stsci/meetings/adassIV/rasmussenb.html">http://www.stsci.edu/stsci/meetings/adassIV/rasmussenb.html</a> , 1995, (4 pages).

EXAMINER	DATE CONSIDERED
<b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	